

Docket No. 272301US0PCT

**MAIL STOP PCT**  
JC02 Rec'd PCT/PTO 12 AUG 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: 10/539,614

GAU:

FILED: June 17, 2005

EXAMINER:

FOR: METHOD FOR PRODUCING COATED PHARMACEUTICALS AND FOOD SUPPLEMENTS WITH CONCENTRATION GRADIENTS IN THE COATING THEREOF

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 272301US0PCT		SERIAL NO. 10/539,614	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hans-Ulrich PETEREIT, et al.			
FILING DATE June 17, 2005				GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	6 846 891	01/25/05	PETEREIT et al.			
	AB	6 624 210	09/23/03	PETEREIT et al.			
	AC	2003/0064036	04/03/03	PETEREIT et al.			
	AD	2004/0249035	12/09/04	PETEREIT et al.			
	AE	6 378 789	04/30/02	SEAMAN, Jr. et al.			
	AF	4 433 076	02/21/84	BAUER et al.			
	AG	5 292 522	03/08/94	PETEREIT et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	00/05307	02/03/00	WO (with English abstract)		NO	
	AP	1 576 075	10/01/80	GB		NO	
	AQ	1 240 826	09/18/02	EP		NO	
	AR	39 21 403	02/08/90	DE		NO	
	AS	0 519 870	12/23/92	DE		NO	
	AT	0 848 960	06/24/98	EP (with English abstract)		NO	
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	ABLETSHAUSER et al. "Film coating of pellets with insoluble polymers obtained in situ crosslinking in the fluidized bed", Journal of Controlled Release, vol. 27, pages 149-156, XP009025431 1993					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/539,614  
Docket No.: 272301US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AE-AG, AO-AS, AW have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) Reference AT is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
272301US0PCT*	10/539,614	06/17/05		PETERIET, et al.
268104US0PCT	10/539,808	06/20/05		PETERIET, et al.
268018US0PCT	10/529,636	03/31/05		PETERIET, et al.
238858US0DIV	6,846,891	01/25/05		PETERIET, et al.
200253US0PCT	6,624,210	09/23/03		PETERIET, et al.
226779US0PCT	10/239,634	09/24/02	US2003/0064036 A1	PETERIET, et al
249725US0PCT	10/489,856	03/23/04	US2004/0249035 A1	PETERIET, et al

\*Present Application; listed for information  
NFO/kch